| SUBJECT: (Optional)                                  |                    |           |           |  |  |  |  |
|--|--------------------|-----------|-----------|--|--|--|--|
| Presentation   | of Co              | mputer    | System    | ms Performance Data  |  |  |  |
| FROM:  |                    |           | EXTENSION | NO.  |  |  |  |
| Keith E. Silliman<br>IBM/SEB/ED/ODP                  |                    |           |           | DATE   |  |  |  |
|  | <u>,</u>           |           |           | 15 December 1983   |  |  |  |
| TO: (Officer designation, room number, and building) | DA                 | ATE .     | OFFICER'S | COMMENTS (Number each comment to show from                               |  |  |  |
|  | RECEIVED           | FORWARDED | INITIALS  | to whom. Draw a line across column after each commer                     |  |  |  |
| 1  |                    |           | 40        | ODP concurs  |  |  |  |
| EXO/ODP 2D0105 Hqs                                   | 29 DEC             | 1993      | for       |  |  |  |  |
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STAT

15 December 1983

MEMORANDUM FOR: Contracting Officer

THROUGH

: Chief, Systems Engineering Branch, ODP Chief. Engineering Division ODP

Chief, Engineering Division, ODP

Executive Officer, ODP

FROM:

Keith E. Silliman

IBM

SUBJECT:

Presentation of Computer System Performance Data

- 1. I request permission to present computer system performance data obtained from Agency IBM computer systems to a group of computer system performance analysts and programmers.
- 2. When approved, I intend to present that data at the WMA VM Users Group meeting to be held at Goddard Space Flight Center in Greenbelt, Maryland, January 18, 1984. The paper and presentation foils will be available to attendees upon request.
- 3. None of the material to be presented is classified or controversial. I will discuss the technical aspects of VM computer system performance. The title of the paper is "VM Performance Analysis and Capacity Planning With Emphasis on DASD". A copy of the paper is attached along with a copy of the foils to be used for the presentation.

**STAT** STAT

If any reference is made as to the source of the data, it will be identified only as from a customer account.

Keith E. Silliman

cc: Michael L. Johnson, w/o attachments P. Fred Stepler, w/o attachments

# VM PERFORMANCE ANALYSIS AND CAPACITY PLANNING WITH EMPHASIS ON DASD

by

Keith E. Silliman

IBM Corporation
Federal Systems Division
18100 Frederick Pike
Gaithersburg, Maryland 20879
Tie 372-7132
301 840-7132

This paper presents some results and conclusions from performance analysis and capacity planning work done with a large scale (3081 D), high performance (sub-second trivial response time) VM/CMS intensive computer system. We will discuss the performance analysis and capacity planning methodology, summarize some results, make observations on subsystem capacity values, and indicate future directions.

#### Methodology

Our approach to performance analysis and capacity planning was to characterize the capacity of the system, by subsystem component (CPU, Main Storage, and DASD), in terms of number of 'users'. (The characterization was accomplished by plotting the subsystem utilization as a function of the number of active users.) This methodology provided a sufficiently precise measure of the system environment, at a relatively low cost in system and manpower resources, for both gross level performance analysis and capacity planning functions. It indicates the percent of subsystem utilization per user, which is instrumental in system tuning when the objective is to balance subsystem capacities. Assuming that the workload mix does not significantly change, subsystem utilization can be linearly projected for capacity planning purposes, based on anticipated future user loads.

This methodology was a synthesis of the system decomposition approach of Major (IBM SYST J 20 #1 1981) and Wicks (GG22-9299, 1982) in an MVS environment and the user orientation of Tetzlaff (IBM SYST J 18 #1 1979) in a VM environment.

#### Results

In this environment, we see 2.0 to 2.5 logged users per active user. (Definitions of the measures discussed can be obtained from the VMAP

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documentation.) This relatively low ratio of logged to active users occurs because users are not allowed to leave a logged on terminal unattended. The logged to active ratio is generally indicative of user response, with the lowest ratio (approximately 2 logged to 1 active) giving the best response. A fully utilized (190 to 200 percent) 3081 D processor supports between 120 and 160 active users, indicating an active user can absorb between 1.7 and 1.2 percent of the processor's capacity. The percent processor utilization values can be normalized to ARIPs for purposes of comparison across processors (See 'LARGE SYSTEM ARIPS "Approximate Relationships in Performance"', ZZ05-0386).

Main storage utilization in VM is not a very meaningful measurement. Therefore, we have focused our attention on user working set size and system paging rates. Normally, user working sets are in the 100-120 k byte (25-30 pages) range and are associated with moderate to low paging rates. Paging is a function of main storage access demand. As the number of concurrent users increases, the amount of storage available to each decreases until page faulting occurs with essentially every access. Page thrashing conditions associated with working set sizes of 70 k bytes have been observed.

The pagable portion of main storage, or the Dynamic Paging Area, constitutes approximately 12 of the 16 megabytes of the system's main storage configuration. The other 4 megabytes include the nucleus, internal trace table, and free storage area, with free storage requiring more than 3 megabytes.

I/O interrupt rates of up to 1250 per second have been observed. That translates into DASD I/O activity rates of 5-7 per active user per second, or 2-3 I/Os per second for user and system (non-paging) I/O and the remaining 3-4 per second for paging activity to drums. The translation of DASD I/O rates into percent capacity of the device is generally not straightforward. The capacity of a DASD to do I/O is a function of the device characteristics, the pathway configuration, data block size, and access methodology. In this environment, however, the performance characteristics of the three types of DASD (IBM 3380 disk, IBM 2305 drum, and STC 4305 solid state drum) are the primary variables. The I/O pathway configuration is relatively stable, the data block size is fixed by function and is generally 2 k bytes for user data and 4 k bytes for paging, and the access methods are constant.

Plots of device utilization as a function of I/O activity and I/O activity queued as a function of device utilization indicate device capacities to do I/O. Comparison of values for different I/O and processor configurations indicate the effect of those components on I/O device capacity.

Those plots confirm the 30-35 percent device busy rule of thumb for 3380s and indicate an 'average' capacity of 12-15 I/Os per second, device service times of 20-25 milliseconds, and I/O activity queued of less than or equal to 10 percent. ('Average' values are indicated for a 9-hour day with peak period values of 2 to 2.5 times the 'average'.)

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For 2305 drums, used as paging devices with three exposures, 10 percent I/O queued occurs around 45 percent device busy, indicating an 'average' capacity of 25-35 I/Os per second depending on the system configuration. Device service times of 10-20 milliseconds are observed. The highest I/O capacity values are available from devices on dedicated pathways on 3033 processors, with lower values for devices on 3081 processors or contended pathways.

For the solid state drums, used as paging devices with three exposures, projections of logical device busy to 45-50 percent indicates an 'average' I/O capacity of greater than 60 per second. Device service times on data streaming channels at approximately 30 I/Os per second are between 3 and 5 milliseconds.

#### Capacity Observations

A gross level performance analysis of the VM system indicated an imbalance between CPU and main storage capacity. Between 120 and 160 active users can be supported by a 190-200 percent utilized processor, but only 120 active users with working set sizes of 100 k bytes can be supported in the 12 megabytes of dynamically pagable storage. The support of 160 active users (40 beyond the 'capacity' of main storage) is accomplished through the high performance characteristics of the paging subsystem. However, at the high paging rates associated with 160 active users, the processor resources are increasingly consumed by CP paging overhead, thereby resulting in decreasing amounts of virtual (problem program) time to accomplish user work.

The non-paging DASD configuration to support 120 active users is estimated to be between 24 and 30 arms (3 I/Os per active user per second \* 120 active users / 15 or 12 I/Os per second per device). For our environment with average user minidisks in the 3-5 cylinder range and the low ratio of active to total users, the 3380's I/O and storage capacity are reasonably balanced for user minidisk storage. However, the storage capacity of the 3380 is excessive relative to its I/O capacity for higher density of data reference system functions such as temporary and S and Y disk accesses. Multiple, partially allocated disks are dedicated to those functions to obtain the desired performance. Forty-four 3380 arms are configured on the 3081 system to support the I/O load and accommodate the I/O access skew across devices.

The paging DASD configuration to support 120 active user at 4 pages per user per second would be 20 devices at 25 pages per second, 12 devices at 40 pages per second, and 8 devices at 60 pages per second. Our experience shows that IBM 2305 drums have the I/O capacity of 25 to 35 I/Os per second and that solid state drums are projected to have an I/O capacity of 60 I/Os per second.

The I/O pathway configuration to support the nonpaging I/O load is estimated to be approximately 6 pathways (channel/control unit) -  $360 \text{ I/Os} \div .004 \text{ sec per I/O} / 25 \text{ percent pathway component busy, or } 6 \text{ to } 8$ 

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arms per path. Ten channel pathways (5 symmetrical pairs) are configured to support the current I/O load requirement as well as to provide for future growth.

For the paging I/O load, approximately 1 pathway per logical device is indicated for rotating drums and 1 pathway per 2 logical devices for solid state drums. However, both the 2305 and the 4305 are configured with multiple logical devices per controller, 2 on the 2305 and either 2 or 4 on the 4305. The current configuration includes 12 logical solid state drums accessed via 6 channel pathways. Previous 2305 drum configurations had 8 logical drums accessed via 8 channels.

The capacity of the channel/control unit path to do I/O is empirically determined by comparison of device service times with pathway component utilization. Initially, maximum tolerable device service time values are specified. Then, by adding workload or devices, I/O pathway loading is increased until the maximum specified device service times are reached. Device service time increases concomitantly with pathway component utilization because of increasingly frequent missed device reconnects. The resultant pathway loading values (I/O rates) are considered to be capacity values. Preliminary results indicate that 'average' pathway capacity is approximately 50 I/Os per second for rotating devices and 100 I/Os per second for solid state devices, the difference being the penalty of a full rotation for missed reconnects.

#### Future Work

The near term future requires the development of an I/O configuration that will support the growing CMS intensive workload on a 3081 K with 32 megabytes of main storage and continue to provide the same or a higher performance environment.

The longer term project is the characterization of the VM user's terminal utilization. The intent is to determine the time a user is logged on the system, length of session, number of interactions per session, and user 'think' time. We anticipate that this information will provide insight into the question of the number of users a terminal can support and, correspondingly, into system resource requirements per system user or per terminal. This information will also assist in the exploration of the relationship of system performance and user productivity in this VM/CMS environment.

A still longer term, lower priority, task is the characterization of the functions of a computer performance analyst. This information may be instrumental in the establishment of an effective process of identification and development of performance analysts to satisfy the growing demand.

# VM PERFORMANCE ANALYSIS AND CAPACITY PLANNING WITH EMPHASIS ON DASD

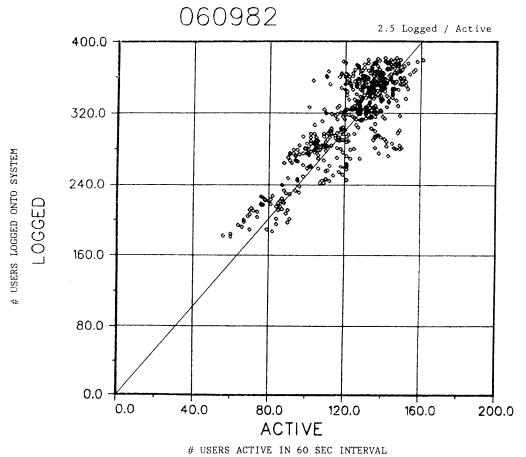
KEITH E. SILLIMAN

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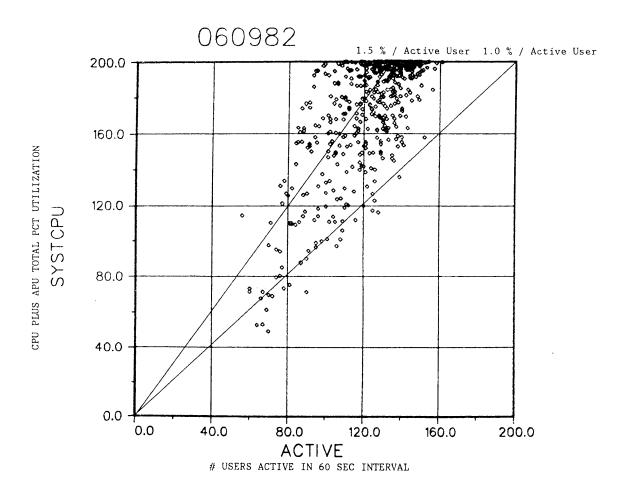
Sanitized Copy Approved for Release 2010/11/12: CIA-RDP85-00142R000300200001-8 INTRODUCTION VM PERFORMANCE ANALYSIS & CAPACITY PLANNING METHODOLOGY CPU, MAIN STORAGE, & DASD MEASUREMENT RESULTS CAPACITY OBSERVATIONS — CPU, MAIN STORAGE, & DASD **FUTURE DIRECTIONS** 

## INTRODUCTION

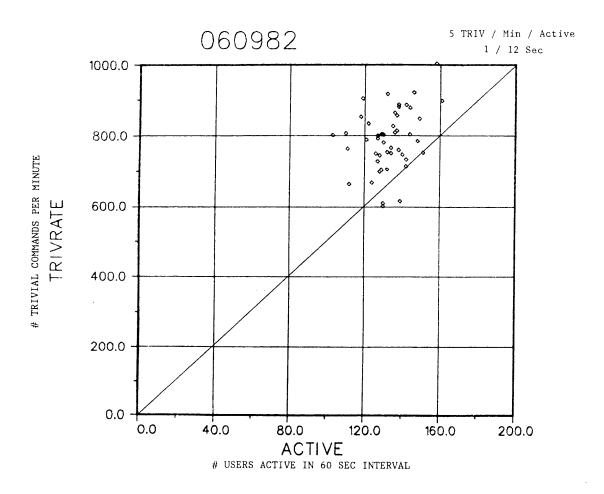
LARGE SCALE, HIGH PERFORMANCE VM/CMS SYSTEM 3033MP/3081 D
TRIVIAL RESPONSE THRESHOLD — 0.3 SECONDS



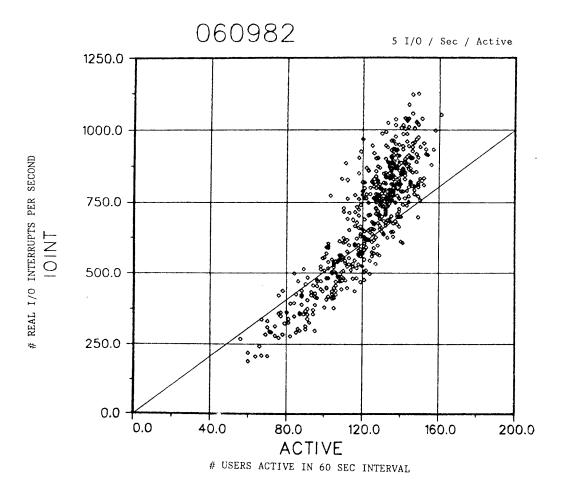
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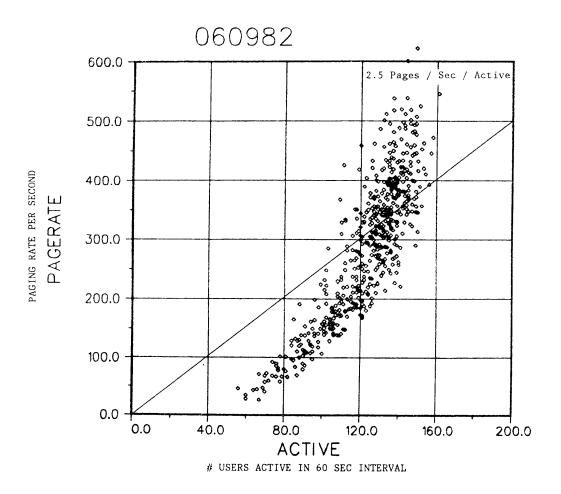
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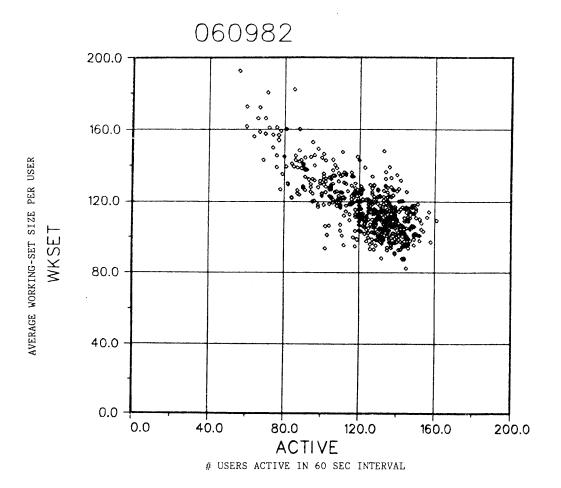
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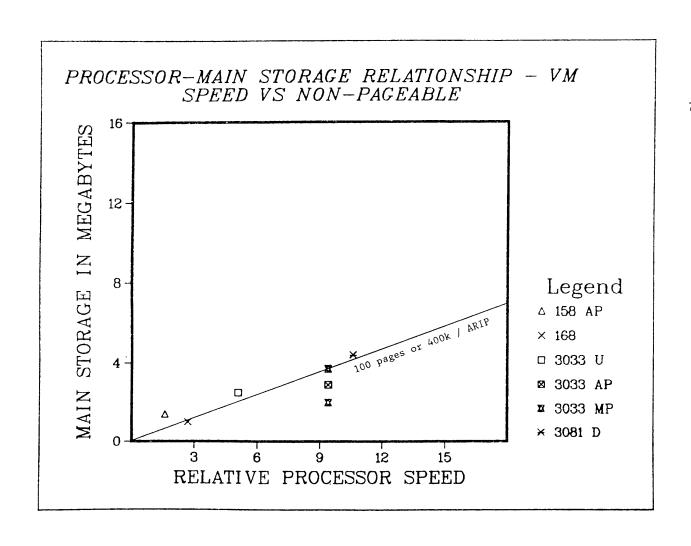
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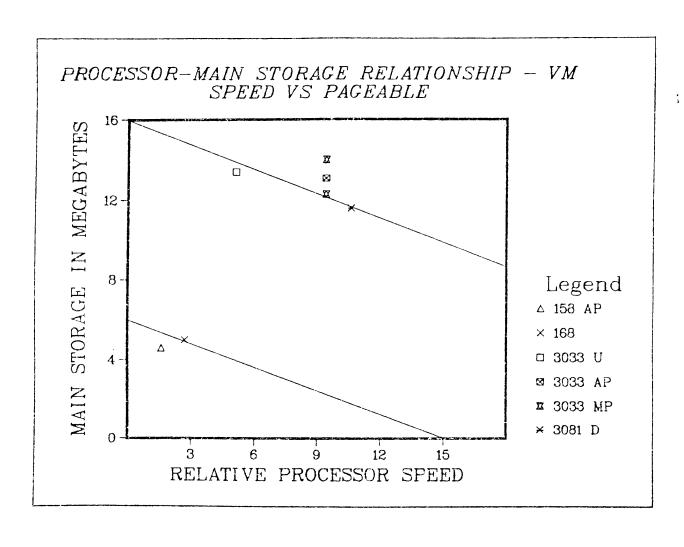


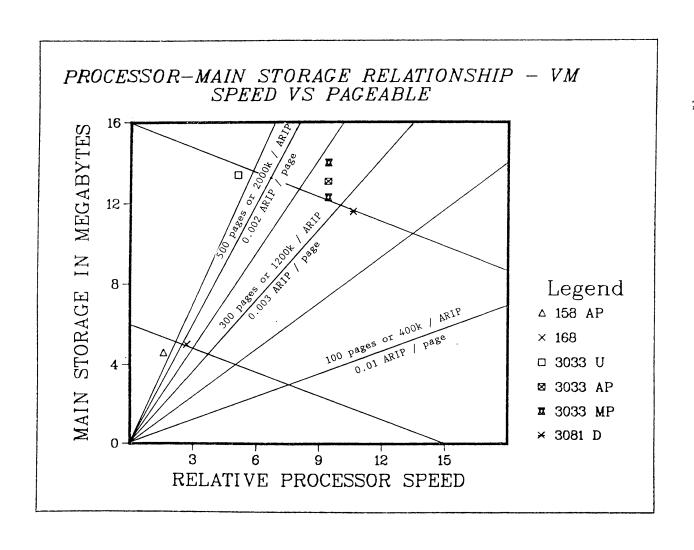
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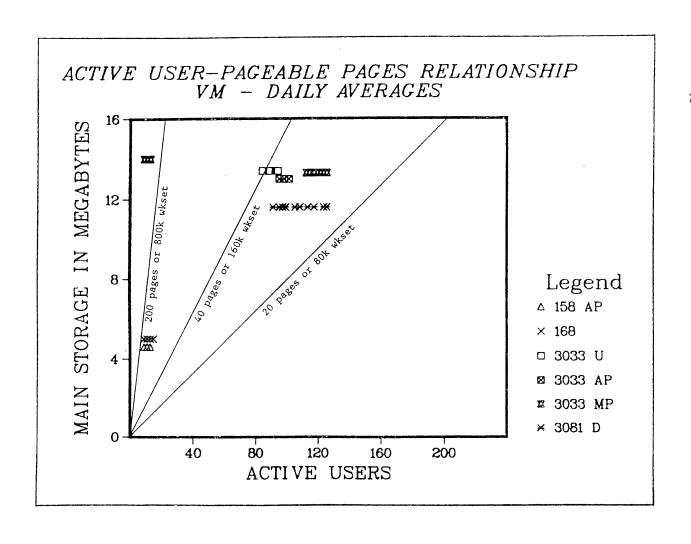


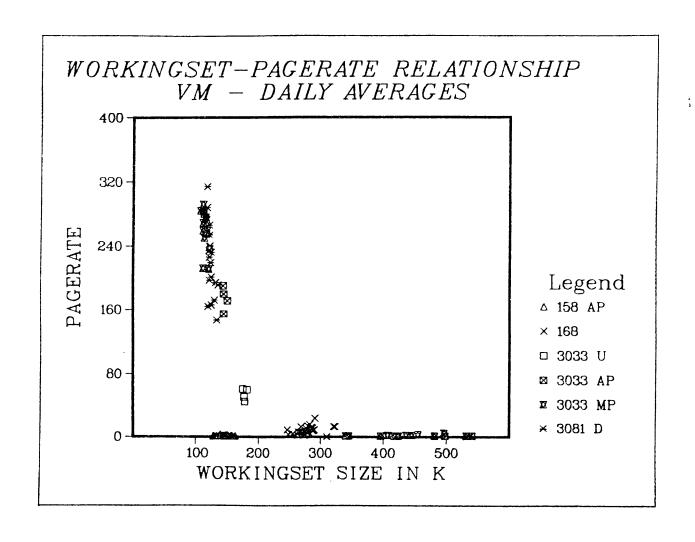
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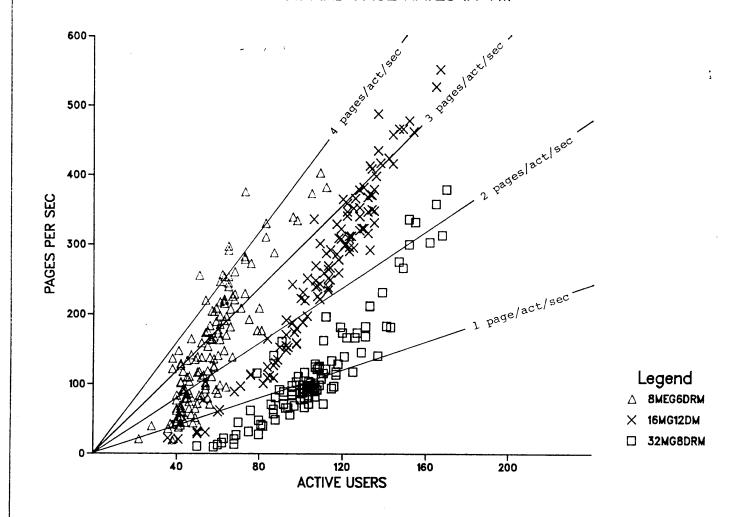


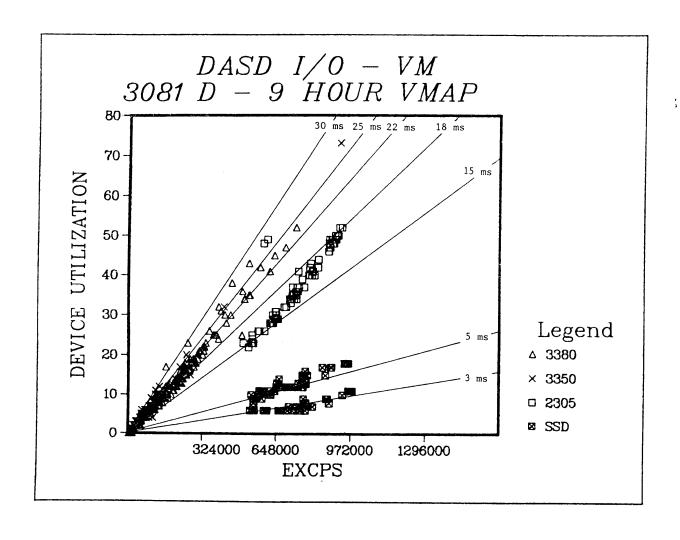


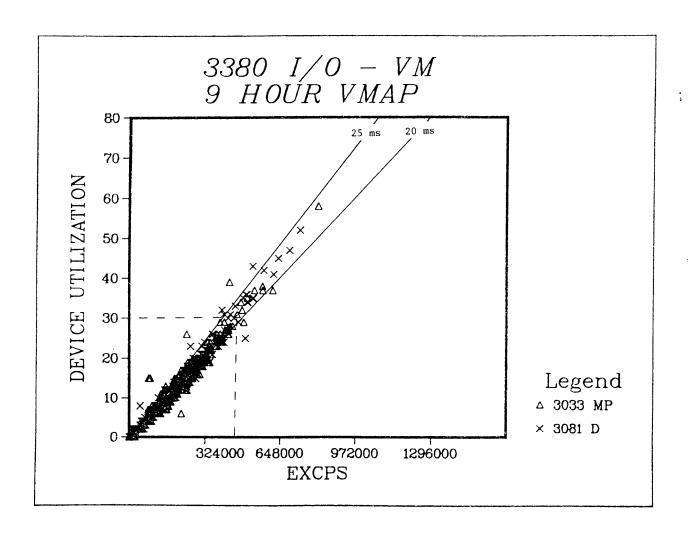


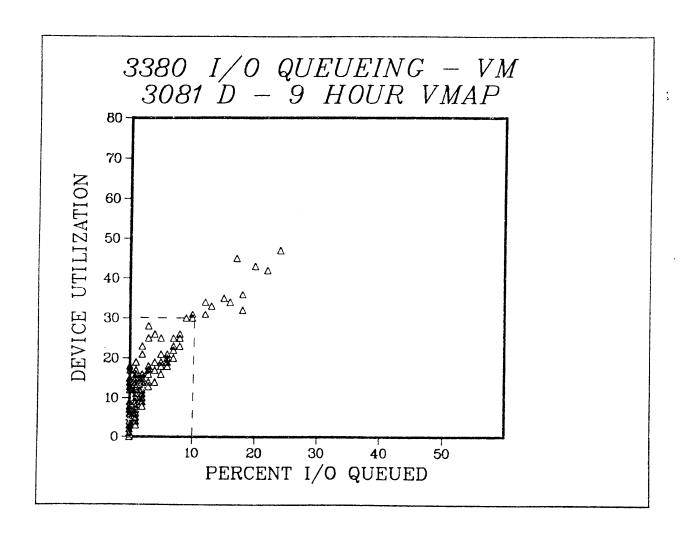


### MAIN STORAGE AND PAGE RATES IN VM









COMBINED I/O ACTIVITY, VM1 & VM2 Thursday June 30, 1983

|   | DEV         | VOL         | VM1     | VM2     | TOTAL   | I/0 | VM1 | VM2 | TOT | VM1 | VM2 | SERVICE |
|---|-------------|-------------|---------|---------|---------|-----|-----|-----|-----|-----|-----|---------|
|   | ADD         | ID          | I/O     | I/O     | I/0     | SEC | %DU | %DU | %DU | %QD | %QD | TIME ms |
|   | 920         | VMBKP1      | 233348  |         | 233348  | 7   | 18  |     | 18  | 1   |     | 22      |
|   |             | V2U802      | 41075   | 25421   | 66496   |     |     | ^   |     |     | ^   |         |
|   |             |             |         |         |         | 2   | 2   | 2   | 4   | 0   | 0   | 17      |
|   |             | VMU123      | 14055   | 7827    | 21882   | 1   | 0   | 0   | 0   | 0   | 0   |         |
|   |             | VMU127      | 16939   | 66879   | 83818   | 3   | 1   | 4   | 5   | 0   | 0   | 15      |
|   |             | VMU101      | 148557  | 36158   | 184715  | 6   | 10  | 3   | 13  | 1   | 0   | 17      |
|   |             | VMU129      | 56079   | 56066   | 112145  | 3   | 4   | 4   | 8   | 1   | 1   | 20      |
|   |             | VMU103      | 73502   | 41496   | 114998  | 4   | 5   | 2   | 7   | 1   | 0   | 16      |
|   |             | V1AIM1      | 124119  |         | 124119  | 4   | 6   |     | 6   | 0   |     | 15      |
|   |             | V1U106      | 10063   | 27532   | 37595   | 1   | 0   | 2   | 2   | 0   | 0   | 24      |
|   | 929         | V1U107      | 143505  | 33978   | 177483  | 5   | 11  | 3   | 14  | 1   | 1   | 22      |
|   | B2A         | V1U108      | 66045   | 39869   | 105914  | 3   | 4   | 3   | 7   | 1   | 1   | 19      |
|   |             | V1U109      | 57998   | 73216   | 131214  | 4   | 4   | 6   | 10  | 0   | ō   | 23      |
|   |             | V1IPL1      | 321146  |         | 321146  | 10  | 24  |     | 24  | 6   | •   | 23      |
|   |             | V2U807      | 25478   | 72509   | 97987   | 3   | 2   | 6   | 8   | 1   | 1   | 19      |
| , |             | V1IPL2      | 20956   |         | 20956   | 1   | 2   | Ů   | 2   | 1   |     | 2       |
|   |             | VMTRL1      | 57534   | 753018  | 810552  | 25  | 4   | 43  | 47  | 1   | 12  | 16      |
|   | 221         | *********** | 37334   | 755010  | 010332  | 23  | 7   | 73  | 7/  | 1   | 12  | 10      |
|   | x2x         |             | 1410399 | 1233969 | 2644368 | 82  | 97  | 78  | 175 |     |     | 22      |
|   | 92 <b>x</b> |             | 979251  | 251664  | 1230915 | 38  | 71  | 20  | 91  |     |     | 24      |
|   | B2x         |             | 431148  | 982305  | 1413453 | 44  | 26  | 58  | 84  |     |     | 19      |
|   |             |             |         |         |         |     |     |     |     |     |     |         |
|   | 940         | VMU121      | 16092   | 42995   | 59087   | 2   | 0   | 2   | 2   | 0   | 0   | 7       |
|   | 941         | V1BAL1      | 224456  |         | 224456  | 7   | 14  |     | 14  | 1   |     | 20      |
|   | B42         | VMU124      | 31083   | 51360   | 82443   | 3   | 2   | 2   | 4   | 0   | 0   | 11      |
|   | B43         | VMU128      | 90530   | 15359   | 105889  | 3   | 5   | 2   | 7   | Ō   | Ŏ   | 15      |
|   |             | VMU102      | 136617  | 101192  | 237809  | 7   | 11  | 8   | 19  | 2   | 2   | 17      |
|   |             | VMU130      | 262431  | 67296   | 329727  | 10  | 16  | 4   | 20  | 1   | 1   | 16      |
|   |             | VMU104      | 106466  | 13804   | 120270  | 4   | 6   | 1   | 7   | Ô   | Ô   | 14      |
|   |             | VMU105      | 26682   | 18437   | 45119   | 1   | 2   | ō   | 2   | Ö   | 0   | 19      |
|   |             | V1U113      | 23987   | 13370   | 37357   | 1   | 2   | 0   | 2   | 0   | 0   | 24      |
|   |             | V1U110      | 51776   | 47327   | 99103   | 3   | 4   | 4   | 8   | 0   | 1   | 21      |
|   |             | V2AIM2      | 31770   | 158376  | 158376  | 5   | 7   | 7   | 7   | U   | _   |         |
|   |             | V1U112      | 73903   | 12427   | 86330   | 3   | 4   | ,   | 4   | ^   | 0   | 14      |
|   | 94C         | 110112      | 73703   | 12421   | 00330   | ,   | -   |     | 4   | 0   | U   | 16      |
|   | 94D         |             |         |         |         |     |     |     |     |     |     |         |
|   | B4E         |             |         |         |         |     |     |     |     |     |     |         |
|   |             |             |         |         |         |     |     |     |     |     |     |         |
|   | B4F         |             |         |         |         |     |     |     |     |     |     |         |
|   | x4x         |             | 1044023 | 541943  | 1585966 | 49  | 66  | 30  | 96  |     |     | 20      |
|   | 94x         |             | 715359  | 272180  | 987539  | 30  | 47  | 18  | 65  |     |     | 21      |
|   | B4x         |             | 328664  | 269763  | 598427  | 19  | 19  | 12  | 31  |     |     | 17      |
|   | JAK         |             | 323004  | 207703  | 370427  | 19  | 19  | 12  | J 1 |     |     | 1/      |

KES 8/12/83 VMI080T1

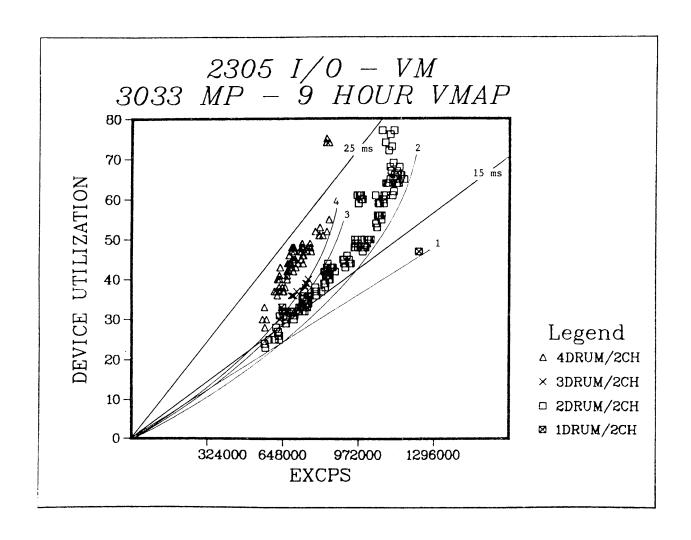
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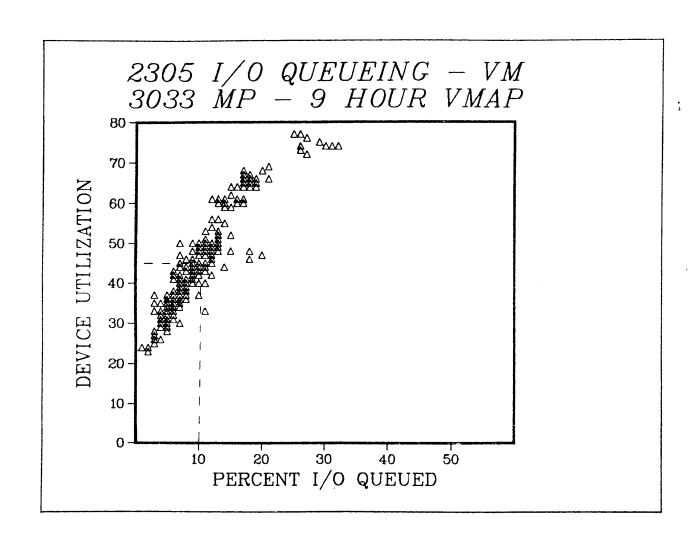
| CU<br>ADD   | CHAN<br>ADD | VM1<br>I/O | VM2<br>I/O | TOTAL<br>I/O            | •   | CU BSY<br>@3.5ms |       |
|-------------|-------------|------------|------------|-------------------------|-----|------------------|-------|
|             | 1.55        | 2,0        | 2, 3       | 1,0                     | 020 | (3.5             | 401MM |
| 82 <b>x</b> |             | 544980     | 487574     |                         | 32  | .112             |       |
| 84 <b>x</b> |             | 988831     | 51999      | 1040830                 | 32  | .113             |       |
| 86x         |             | 55845      | 1147328    | 1203173                 | 37  | . 130            |       |
|             | 8xx         | 1589656    | 1686901    | 3276557                 | 101 |                  | .089  |
| 92x         |             | 979251     | 251664     | 1230915                 | 38  | . 133            |       |
| 94x         |             |            | 272180     | <b>-</b> -              |     | .107             |       |
| 9Ax         |             |            |            | 792807                  | 25  | .086             |       |
| 9Bx         |             | 294225     | 300558     | 594783                  | 18  | .064             |       |
|             | 9xx         | 2427842    | 1178202    | 3606044                 | 111 |                  | .098  |
| A2x         |             | 343989     | 213788     | 5 <b>5</b> 77 <b>77</b> | 17  | . 060            |       |
| A4x         |             |            | 125504     |                         | 30  | . 104            |       |
| AAx         |             |            | 198523     |                         | 7   | .025             |       |
| ABx         |             | 203632     | 239351     | 442983                  | 14  | .048             |       |
|             | Axx         | 1412631    | 777166     | 2189797                 | 68  |                  | .059  |
| B2 <b>x</b> |             | 431148     | 982305     | 1413453                 | 44  | . 153            |       |
| B4x         |             | 328664     |            | 598427                  | 19  | .065             |       |
| B6x         |             | 22291      | 497006     | 519297                  | 16  | .056             |       |
|             | Bxx "       | 782103     | 1749074    | 2531177                 | 78  |                  | .068  |

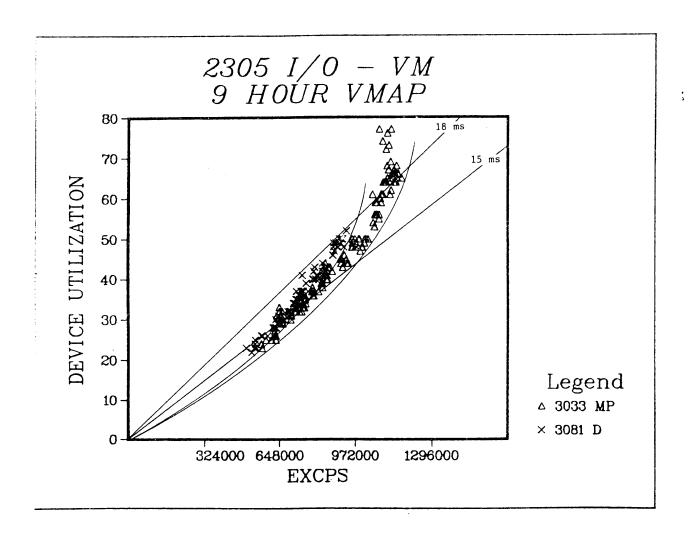
TOTALS 6212232 5391343 11603575 358

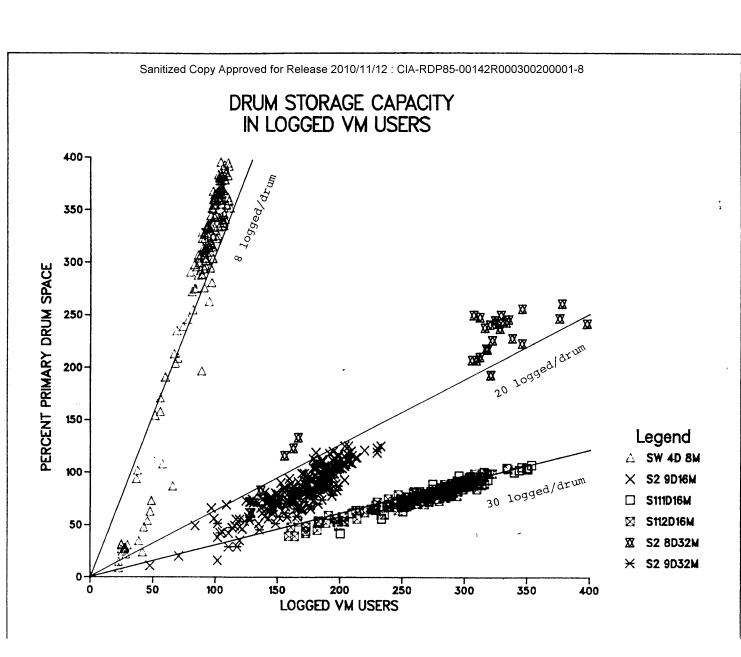
76 Volumes Online 152678 I/Os per Volume

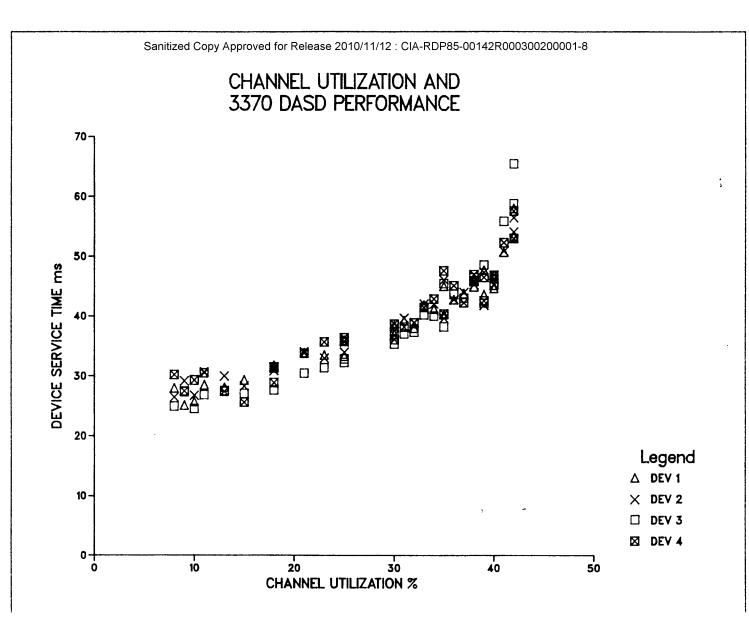
KES 8/12/83 VMIO80T1



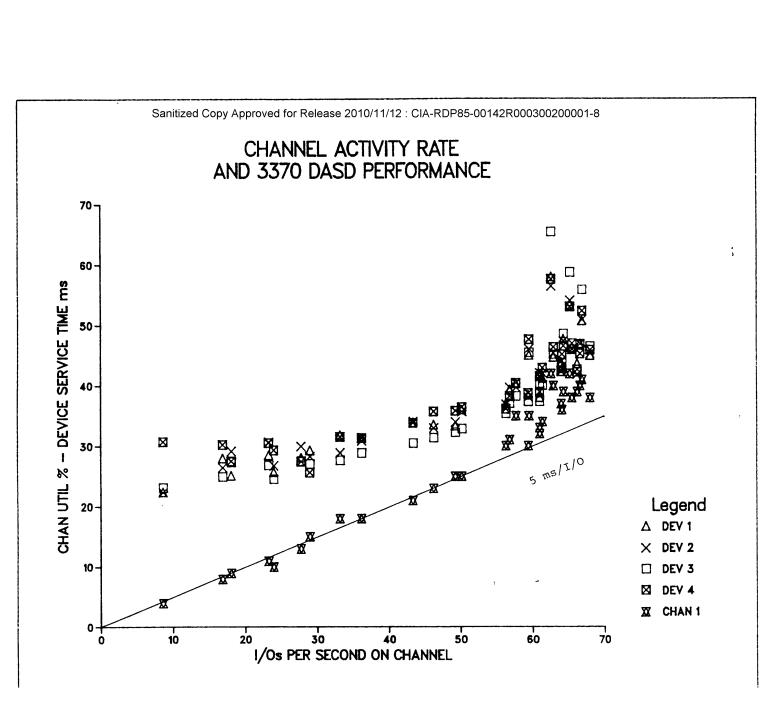








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HIGH PERFORMANCE CMS INTENSIVE WORKLOAD
PERFORMANCE CHARACTERISTICS AND CONFIGURATION REQUIREMENTS

| ARIP | ACTIVE | LOGGED | MEGS | PAGES | I/0s | DRUMS | DASD | CHAN   |
|------|--------|--------|------|-------|------|-------|------|--------|
| 1    | 20     | 50     | 3    | 40    | 80   | 1     | 4    | 2+T&T  |
| 2    | 40     | 100    | 5    | 80    | 160  | 2     | 7    | 3+T&T  |
| 3    | 60     | 150    | 8    | 120   | 240  | 3     | 10   | 5+T&T  |
| 4    | 80     | 200    | 10   | 160   | 320  | 4     | 14   | 6+T&T  |
| 5    | 100    | 250    | 12   | 200   | 400  | 5     | 17   | 8+T&T  |
| 6    | 120    | 300    | 15   | 240   | 480  | 6     | 20   | 9+T&T  |
| 7    | 140    | 350    | 17   | 280   | 560  | 7     | 24   | 10+T&T |
| 8    | 160    | 400    | 20   | 320   | 640  | 8     | 27   | 12+T&T |
| 9    | 180    | 450    | 22   | 360   | 720  | 9     | 30   | 13+T&T |
| 10   | 200    | 500    | 24   | 400   | 800  | 10    | 34   | 15+T&T |
| 11   | 220    | 550    | 27   | 440   | 880  | 11    | 37   | 16+T&T |
| 12   | 240    | 600    | 29   | 480   | 960  | 12    | 40   | 17+T&T |
| 13   | 260    | 650    | 32   | 520   | 1040 | 13    | 44   | 19+T&T |
| 14   | 280    | 700    | 34   | 560   | 1120 | 14    | 47   | 20+T&T |
| 15   | 300    | 750    | 36   | 600   | 1200 | 15    | 50   | 22+T&T |

ACTIVE = ARIP/.05 [50000 inst/act user/sec]

LOGGED = ACTIVE \* 2.5

MEGS = (0.4 \* ARIP) + (ACTIVE \* WKSET [100])

PAGES = ACTIVE \* WKSET/4 \* 1.6 / THINKTIME [20 sec]

I/O = ARIP/.0125 [12500 inst / I/0]

DRUMS = PAGES/40

DASD = I/O \* 0.5 / 12

CHAN = DRUMS/1 + DASD/8 + TAPE/? + TERMINAL/?

# **METHODOLOGY**

# IDENTIFY PERFORMANCE CONSTRAINTS BALANCE SYSTEM RESOURCES PLAN FUTURE RESOURCES

#### **FUTURE**

CONFIGURE & ANALYSE 3081 K - 32 MEGS

CHARACTERIZE SYSTEM RESOURCE REQUIREMENTS PER TERMINAL

PERFORMANCE ANALYST - EDUCATION, TRAINING, CAREER PATH, ...